

<b>Form 5500</b>  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation	<b>Annual Return/Report of Employee Benefit Plan</b>  This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).  <p style="text-align: center;">▶ <b>Complete all entries in accordance with the instructions to the Form 5500.</b></p>	OMB Nos. 1210-0110 1210-0089  <b>2013</b>  <b>This Form is Open to Public Inspection</b>
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<b>Part I</b>	<b>Annual Report Identification Information</b>
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	<input type="checkbox"/> a multiemployer plan; <input type="checkbox"/> a multiple-employer plan; or <input checked="" type="checkbox"/> a single-employer plan; <input type="checkbox"/> a DFE (specify) ____
B This return/report is:	<input type="checkbox"/> the first return/report; <input type="checkbox"/> the final return/report; <input type="checkbox"/> an amended return/report; <input type="checkbox"/> a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here. . . . .	<input type="checkbox"/>
D Check box if filing under:	<input checked="" type="checkbox"/> Form 5558; <input type="checkbox"/> automatic extension; <input type="checkbox"/> the DFVC program; <input type="checkbox"/> special extension (enter description)

<b>Part II</b>	<b>Basic Plan Information</b> —enter all requested information				
<b>1a</b> Name of plan <u>ALCATEL-LUCENT SHORT TERM DISABILITY PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"><b>1b</b> Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>532</u></td> </tr> <tr> <td colspan="2"><b>1c</b> Effective date of plan <u>01/01/2002</u></td> </tr> </table>	<b>1b</b> Three-digit plan number (PN) ▶	<u>532</u>	<b>1c</b> Effective date of plan <u>01/01/2002</u>	
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<b>1c</b> Effective date of plan <u>01/01/2002</u>					
<b>2a</b> Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)  <u>ALCATEL-LUCENT USA INC.</u>  <u>600 MOUNTAIN AVENUE, RM 2B-410</u> <u>MURRAY HILL, NJ 07974</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td><b>2b</b> Employer Identification Number (EIN) <u>22-3408857</u></td> </tr> <tr> <td><b>2c</b> Sponsor's telephone number <u>908-582-7140</u></td> </tr> <tr> <td><b>2d</b> Business code (see instructions) <u>334200</u></td> </tr> </table>	<b>2b</b> Employer Identification Number (EIN) <u>22-3408857</u>	<b>2c</b> Sponsor's telephone number <u>908-582-7140</u>	<b>2d</b> Business code (see instructions) <u>334200</u>	
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**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>	Filed with authorized/valid electronic signature.	07/29/2014	CAREY SETTLE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
<b>SIGN HERE</b>			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
<b>SIGN HERE</b>			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor Name <input type="checkbox"/> Same as Plan Sponsor Address	<b>3b</b> Administrator's EIN  <b>3c</b> Administrator's telephone number  <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>																		
<b>4</b> If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: <b>a</b> Sponsor's name	<b>4b</b> EIN  <b>4c</b> PN																		
<b>5</b> Total number of participants at the beginning of the plan year	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center;"><b>5</b></td> <td style="width: 90%; text-align: right;">12359</td> </tr> </table>	<b>5</b>	12359																
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<b>6</b> Number of participants as of the end of the plan year (welfare plans complete only lines <b>6a</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="background-color: #cccccc; height: 20px;"></td> </tr> <tr> <td style="width: 10%; text-align: center;"><b>6a</b></td> <td style="width: 90%; text-align: right;">11581</td> </tr> <tr> <td style="text-align: center;"><b>6b</b></td> <td style="text-align: right;">0</td> </tr> <tr> <td style="text-align: center;"><b>6c</b></td> <td style="text-align: right;">0</td> </tr> <tr> <td style="text-align: center;"><b>6d</b></td> <td style="text-align: right;">11581</td> </tr> <tr> <td style="text-align: center;"><b>6e</b></td> <td></td> </tr> <tr> <td style="text-align: center;"><b>6f</b></td> <td></td> </tr> <tr> <td style="text-align: center;"><b>6g</b></td> <td></td> </tr> <tr> <td style="text-align: center;"><b>6h</b></td> <td></td> </tr> </table>			<b>6a</b>	11581	<b>6b</b>	0	<b>6c</b>	0	<b>6d</b>	11581	<b>6e</b>		<b>6f</b>		<b>6g</b>		<b>6h</b>	
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<b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center;"><b>7</b></td> <td style="width: 90%;"></td> </tr> </table>	<b>7</b>																	
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**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

4F

<b>9a</b> Plan funding arrangement (check all that apply) <table style="width: 100%;"> <tr><td style="width: 5%;">(1)</td><td style="width: 5%;"><input type="checkbox"/></td><td>Insurance</td></tr> <tr><td>(2)</td><td><input type="checkbox"/></td><td>Code section 412(e)(3) insurance contracts</td></tr> <tr><td>(3)</td><td><input type="checkbox"/></td><td>Trust</td></tr> <tr><td>(4)</td><td><input checked="" type="checkbox"/></td><td>General assets of the sponsor</td></tr> </table>	(1)	<input type="checkbox"/>	Insurance	(2)	<input type="checkbox"/>	Code section 412(e)(3) insurance contracts	(3)	<input type="checkbox"/>	Trust	(4)	<input checked="" type="checkbox"/>	General assets of the sponsor	<b>9b</b> Plan benefit arrangement (check all that apply) <table style="width: 100%;"> <tr><td style="width: 5%;">(1)</td><td style="width: 5%;"><input type="checkbox"/></td><td>Insurance</td></tr> <tr><td>(2)</td><td><input type="checkbox"/></td><td>Code section 412(e)(3) insurance contracts</td></tr> <tr><td>(3)</td><td><input type="checkbox"/></td><td>Trust</td></tr> <tr><td>(4)</td><td><input checked="" type="checkbox"/></td><td>General assets of the sponsor</td></tr> </table>	(1)	<input type="checkbox"/>	Insurance	(2)	<input type="checkbox"/>	Code section 412(e)(3) insurance contracts	(3)	<input type="checkbox"/>	Trust	(4)	<input checked="" type="checkbox"/>	General assets of the sponsor
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**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

<b>a Pension Schedules</b> <table style="width: 100%;"> <tr><td style="width: 5%;">(1)</td><td style="width: 5%;"><input type="checkbox"/></td><td><b>R</b> (Retirement Plan Information)</td></tr> <tr><td>(2)</td><td><input type="checkbox"/></td><td><b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary</td></tr> <tr><td>(3)</td><td><input type="checkbox"/></td><td><b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary</td></tr> </table>	(1)	<input type="checkbox"/>	<b>R</b> (Retirement Plan Information)	(2)	<input type="checkbox"/>	<b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary	(3)	<input type="checkbox"/>	<b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	<b>b General Schedules</b> <table style="width: 100%;"> <tr><td style="width: 5%;">(1)</td><td style="width: 5%;"><input type="checkbox"/></td><td><b>H</b> (Financial Information)</td></tr> <tr><td>(2)</td><td><input type="checkbox"/></td><td><b>I</b> (Financial Information – Small Plan)</td></tr> <tr><td>(3)</td><td><input type="checkbox"/></td><td><b>A</b> (Insurance Information)</td></tr> <tr><td>(4)</td><td><input type="checkbox"/></td><td><b>C</b> (Service Provider Information)</td></tr> <tr><td>(5)</td><td><input type="checkbox"/></td><td><b>D</b> (DFE/Participating Plan Information)</td></tr> <tr><td>(6)</td><td><input type="checkbox"/></td><td><b>G</b> (Financial Transaction Schedules)</td></tr> </table>	(1)	<input type="checkbox"/>	<b>H</b> (Financial Information)	(2)	<input type="checkbox"/>	<b>I</b> (Financial Information – Small Plan)	(3)	<input type="checkbox"/>	<b>A</b> (Insurance Information)	(4)	<input type="checkbox"/>	<b>C</b> (Service Provider Information)	(5)	<input type="checkbox"/>	<b>D</b> (DFE/Participating Plan Information)	(6)	<input type="checkbox"/>	<b>G</b> (Financial Transaction Schedules)
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**Attachment to 2013 Form 5500**  
**Form M-1 Compliance Information**

**Plan Name** Alcatel-Lucent Short Term Disability Plan

**EIN:** 22-3408857

**Plan Sponsor's Name** Alcatel-Lucent USA Inc.

**PN:** 532

1. If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year?

Yes ☐ No ☒

**If "Yes" is checked, complete lines 2 and 3.**

2. Is the plan currently in compliance with Form M-1 filing requirements?

Yes ☐ No ☐

3. Enter the Receipt Confirmation Code for the 2013 Form M-1 annual report. If the plan was not required to file the 2013 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_